

Additional License Authorizations

For Rocket software products

March 16, 2026

This Additional License Authorizations document (“**ALA**”) sets forth the applicable License Options and additional licensing terms that govern the authorized use of Rocket software products not already covered by a more specific ALA (the “**Products**”).

This ALA is an integral part of any applicable Order Form by and between Rocket and its End User Customers that include one or more of the Products, together with the underlying master agreement or end user license agreement mentioned on the Order Form (collectively the “**Agreement**”). In the event of inconsistencies or conflicts between any of these documents, the following order of precedence shall apply: (1) the relevant Order Form, (2) this ALA and (3) the underlying master agreement or end user license agreement.

Capitalized terms used but not defined herein shall have the meanings set forth in the Agreement.

Products covered

All Rocket Products that are not already governed by a more specific ALA.

Definitions

Capitalized terms in this ALA that are used but not defined herein shall have the respective meanings set forth below.

Term	Definition
Adapter	Means the implementation of the ZTeam EOA Product installed on an operating system instance (“OSI”) that facilitates the connection to the application of that same Product in coordination with a Zena or Enterprise Orchestrator Platform Agent.
Agent	Means the implementation of the EOAgent Product installed on an operating system instance (“OSI”) that facilitates the network connection to the Server of that same Product.
Attended Bot Node	Means an integral part of the Product that is executed/run on End User Customer’s infrastructure, i.e., server/desktop/laptop, or virtual machine, which hosts bots that emulate, mimic, and automate human tasks. The bots in an attended bot node are triggered by human action.

Browser User	Means a Named User who can use Safyr® to search, filter, and analyze metadata in the subject areas. It includes the ability to export subject areas to third party products and formats where those Safyr® export formats are licensed.
Capture Driver (also known as “Printer Driver”)	Means a Windows printer driver that enables End User Customer to electronically print and/ or capture content using the Product(s).
Concurrent Update User (or “CUU”)	Means a unique person, application, or device (for the purposes of this definition only, the “User”) that i) logs into one Server on which End User Customer has installed and/ or uses the Product(s), regardless of how, from where, or for what purpose such connection is made and/ or ii) End User Customer provisions within the Product(s) to be able to update/create the information within the respective repositories.
Copy	Means, with respect to TMON PA: <ul style="list-style-type: none"> • For the following product components: ASG-TMON® PA for Linux, ASG-TMON® PA for Unix, ASG-TMON PA for Window and ASG-TMON PA for zLinux, a single physical server connected to one TMON PA Server (regardless of the number of virtual servers). • For the following product components: ASG-TMON® PA for Oracle and ASG-TMON® PA for Sysbase ASE, an instance of the Oracle/Sybase database connected to one TMON PA Server. ASG-TMON® PA for App Servers is an application server monitored by one TMON PA Server. • For the following product components, ASG-TMON® PA for Linux Modeling Suite, ASG-TMON® PA for UNIX Modeling Suite, ASG-TMON® PA for Windows Modeling Suite a physical server connected to one TMON PA Server. For avoidance of doubt, (i) the Modeling Suite Copy is licensed separately from the operating system Copy and (ii) both product components must be licensed for the same quantity on a give TMON PA Server. • For the following product components: ASG-TMON® PA Virtualization Planning Tool, a physical server connected to one TMON PA Server, regardless of the number of virtual servers.
Cypress Concurrent User	Means, with respect to Zenith, a true reproduction of the ASG-Studio™ Product. Means a unique person or a unique machine directly connecting to a Server on which End User Customer has installed and/ or uses the Product(s), regardless of how, or for what purpose, such connection is made.
Cypress Named Machine	Means a physical or virtual computing appliance (identifiable by name, IP address, and/ or any other unique identifier) that End User Customer configures to make a networked or local connection to a Cypress DocuVault (“DocuVault”), regardless of if, or how often, such connection is actually made.
Cypress Printer/Device	Means a unique combination of i) an output destination—the “Host” and ii) a communication channel—the “Unit”, which together are used to deliver documents processed by the Product(s).

Cypress Server Pages	Means an Application Programming Interface (“API”) that allows web portals to request/ retrieve Cypress-managed content without the user having to directly access the Licensed Product(s).
DI Tool	Means a Data Intelligence Scanner, Interface, Analyzer, Catalog, Cataloger, Collector, or Connector that supports a single Server on which the Product(s) are installed and/ or are run at the Designated Installation Location.
Export Tool	Means a mechanism by which the user can share metadata with other third party products via Silwood developed interfaces or industry standard formats.
Extractor/Browser	Means a user who can use Safyr® to both extract metadata from a source application and to search, filter, and analyze metadata in the Safyr® repository in order to create Safyr® subject areas. It includes the ability to export subject areas to third party products and formats where those Safyr® export formats are licensed.
Instance	Means, with respect to Data Intelligence, each separate implementation of a Server license for the Product(s). Means, with respect to Zenith, a copy of the Product that is executed/run on the End User Customer’s infrastructure, i.e., server/desktop/laptop, or virtual machine
Line of Business Environment (or “LOB”)	Means a unique partition of data within the Product(s) that End User Customer configures using the specific naming prefix conventions (e.g., SCN.LOB, RBR.LOB) for a given subject area, which reflects the End User Customer’s structure of its organization into teams, departments, divisions, etc.
Named External User	Means the single, individual user that is authorized to access the Product and assigned a unique authentication identifier that does not belong to the primary domain of the End User Customer.
Named Internal User	Means the single, individual user that is authorized to access the Product and assigned a unique authentication identifier that belongs to the primary domain of the End User Customer.
Order Form	Means a document pursuant to which End User End User Customer transacts to acquire Licenses to Products and/or Services from Rocket, either directly or indirectly via a reseller or other partner of Rocket, and which specifies the prices, fees and any applicable Unit of Measure and other special terms which further define End User Customer’s License entitlements.

Package	Means the set of capabilities and included consumption allocations, i.e., number of named users, amount of storage, etc., provided by Rocket to End User Customer in the ASG-Zenith™ environment.
Rule Set Evaluation	Means part of an application implemented using Zenith and is a collection of business rules that represent business logic in a specific business domain. These rule sets are executed as activities in business processes.
Secondary Server(s)	Means, collectively, each and every Cypress Test, Development, Load-balanced Production Server, or Backup Production Server.
Server	Means a discrete instance of a networked computer running a specific operating system at the location set forth on an Order Form on which End User Customer has installed and/or runs the Licensed Product(s). A Server may be a physical machine or a virtual machine. Except as otherwise expressly provided, each machine, whether physical or virtual, requires its own Server license, regardless of the machine's function, such function which may include, but shall not be limited to, production, pre-production, test, quality assurance, development, failover, backup or disaster recovery, high or continuous availability, etc. If End User Customer permanently uninstalls the Product(s) from a given machine, End User Customer may reassign that Server license to another machine at the location(s) on an Order Form, but no more frequently than once every thirty (30) days.
Service	Means the type of applications that can be used in ASG-Zenith Portal.
Source Application	Means an ERP or CRM package from which Safyr® can extract metadata.
Unattended Bot Node	Means an integral part of the Product that is executed/run on End User Customer's infrastructure, i.e., server/desktop/laptop, or virtual machine, which hosts bots that emulate, mimic, and automate human tasks. The bots in an unattended bot node are triggered by systemic action.
UOM	Means "Unit of Measure" and is the basis for how certain mainframe Products are licensed. A UOM may be by named user, concurrent user, MIPS (Millions of Instructions per Second), MSU (Millions of Service Units per Hour) or other such restrictions on the use of licensed Products.

Use or utilization of a Product	Means (i) downloading, installing, or copying—including, without limitation, by replication—any portion of the Product—including, without limitation, updates, patches, fixes, etc.—onto any computer, storage media, or electronic device (ii) creating, processing, or modifying any form of code, data, information, or workload with the Product, (iii) accessing the Product in any way even if indirectly or remotely through another application, interface, portal, or any other technology, iv) obtaining from Rocket or applying License keys for the Product, v) accessing Rocket Support Services related to the Product, or (vi) managing or maintaining the Product on the designated equipment.
Web Services	Means an Application Programming Interface (“API”) available at no additional charge to End User Customers that have licensed the Enterprise Edition of the Cypress Product(s), which allows web portals to request/retrieve Cypress-managed content without the user having to directly access the Product(s). The total number of Web Services licenses to which the End User Customer is entitled on a given Server is the sum of the license count for the following license types: Cypress Named Machine and Cypress Concurrent User. Whenever End User Customer increases or decreases its license entitlement for Cypress Named Machines and/ or Cypress Concurrent Users on a given Server, the number of Web Services licenses automatically adjusts to the new combined level.
Zena or Enterprise Orchestrator Client	Means a unique person or a unique workstation directly connecting to one Server on which End User Customer has installed and/ or uses the Product(s), regardless of how, or for what purpose, such connection is made.

License Options

The following License Options are the types of Licenses available for the Products governed by this ALA. The applicable License Option for a License shall be as set forth in an Order Form. The definitions below shall apply by default to the relevant License Option(s), whether or not such definitions are included in an Order Form and unless different definitions are agreed in writing between Rocket and End User Customer.

Perpetual License

A “**Perpetual License**” is a License whose term is perpetual, meaning that the duration is indefinite for so long as the Agreement is in force. Except as otherwise stated and until such time that End User Customer advises Rocket that End User Customer no longer uses the Products, and provided that End User Customer is up to date with the payment of any fees due in accordance with the Agreement, Rocket shall make License keys or passwords available to End User Customer for so long as the Agreement remains in force. If a License key expires, Rocket will make available a renewal License key approximately one month before the expiration of a current License key. It is End User Customer’s responsibility to update Rocket with any changes to the designated contact for receipt of License keys. After the first Maintenance term, and provided all fees due under the Agreement, including, without limitation, any applicable License upgrade fees, are paid in full, End User Customer may elect to cancel Support Services and retain the right to use the

Products in accordance with the terms and conditions of the Agreement, subject to section entitled "All-or-none Support Services". If End User Customer elects to cancel Support Services, End User Customer shall notify Rocket in writing at least 90 days prior to the renewal date, and End User Customer (i) shall not be entitled to a refund of any fees which are due and payable under the Agreement or which have been paid, and (ii) shall not be entitled to receive any rights provided for in the Agreement related to Support Services including, without limitation, customer support desk, error corrections, enhancements, improvements and updates. Should End User Customer fail to provide the aforementioned notice, the annual Support Services fee shall be due and payable by End User Customer to Rocket. End User Customer may elect to reinstate Support Services by paying all Support Services fees that would have been due had End User Customer not canceled Support Services, in addition to the Support Services fee for the then-current Support Services period, plus a reinstatement fee.

Subscription License

A "**Subscription License**" is a License whose term is set for a specific period of time as set forth on an Order Form ("**Subscription Period**"). Once the Subscription Period expires, End User Customer has no further rights to use the Products. Subscription Licenses include Support Services as part of the Subscription License fee.

Additional License Options and Product-Specific Terms

The following additional License Options may also apply to one of the License Options above if set forth on an Order Form:

Adapter

Except as otherwise expressly provided in the Agreement, each physical and/ or virtual OSI where work is or will be scheduled requires its own Adapter license regardless of the OSI's function, such function which may include, but shall not be limited to, production, test, QA, development, failover, mirror or duplicate, high or continuous availability, and backup/ disaster recovery. Each mainframe LPAR shall be considered a separate OSI for purposes of Adapter licensing. Two or more OSIs may not share the same Adapter license. If End User Customer permanently uninstalls an Adapter license from a given OSI, End User Customer may reassign that Adapter license to another OSI, but no more frequently than once every thirty (30) days.

Agent

Except as otherwise expressly provided in the Agreement, each physical and/ or virtual OSI where work is or will be scheduled requires its own Agent license regardless of the OSI's function, such function which may include, but shall not be limited to, production, test, QA, development, failover, mirror or duplicate, high or continuous availability, and backup/ disaster recovery. Each mainframe LPAR shall be considered

a separate OSI for purposes of Agent licensing. For the avoidance of doubt, two or more OSIs may not share the same Agent license. If End User Customer permanently uninstalls an Agent license from a given OSI, End User Customer may reassign that Agent license to another OSI, but no more frequently than once every thirty (30) days.

Business continuity (“BC”) licensing:

For all BC Licenses, the following definition applies:

A “Disaster Event” is defined as both (i) unplanned by End User Customer and (ii) outside of End User Customer’s control—e.g., act of God, act of war, riot, epidemic, fire, natural disaster, act of government, strike or lockout, or a failure of communication line or power supply. Any failure resulting from operation of the designated equipment does not constitute a Disaster Event.

Unless End User Customer is purchasing a paid BC License, the following terms shall apply for all Licenses:

End User Customer may make a reasonable number of non-executable backup copies of the Products to a storage location(s) from which said backup copies could never be run (e.g., a tape backup). A backup copy may permanently replace the original copy of the Products provided that such original copy is permanently unusable due to damage, destruction, or a Disaster Event; in such a case, use of a backup copy would be governed by the same terms and conditions set forth in the Agreement that apply to the Products. For the duration of the Agreement, End User Customer is also authorized to use the Products on disaster recovery equipment at a disaster recovery location at such time that the End User Customer’s production equipment becomes temporarily inoperable due to a Disaster Event and until operable status is restored to the production equipment. End User Customer may only install—including, without limitation, to via a manual process, an automated copying routine, replication, or mirroring—the Products on the disaster recovery equipment after a Disaster Event has occurred that renders the production equipment inoperable, and must completely de-install the Products from the disaster recovery equipment once the production equipment is restored to operation. For purposes of clarity, replication and mirroring shall be deemed installation hereunder. End User Customer may not use the Products for any disaster recovery testing. Upon request from End User Customer, Rocket shall provide temporary License keys for the Products to run on the disaster recovery equipment only at the time of a Disaster Event. All rights and limitations apply to use of the Products during disaster recovery processing.

If End User Customer is purchasing a BC Level 1 License, the following terms shall apply:

BC Level 1: End User Customer is authorized to install the BC Products on the BC equipment and at the BC location set forth on an Order Form, subject to the License type and quantity limitations specified in such Order Form—which must align with the License capacity intended to be used during BC testing. Rocket shall provide a one-year License key for the BC Products to run on the BC Equipment as of the effective date of the Order Form and provide annual renewal License keys for the duration of the Agreement. End User Customer may use the BC Products for production purposes at such time that the production equipment becomes temporarily inoperable due to a Disaster Event and until operable status is restored to the production equipment. End User Customer may replicate

data—inclusive of the Products—from the production equipment to the BC Equipment. End User Customer may use the BC Products for a total of 30 days per annum in order to conduct BC testing of the BC Equipment, provided that (i) End User Customer provides Rocket with prior written notice of such testing, (ii) no production workload(s) is run on the BC Equipment during said testing, and (iii) End User Customer supplies Rocket with annual Capacity Utilization Reporting—or such equivalent usage reporting for non-mainframe products—for the BC equipment; End User Customer expressly agrees that it shall neither apply nor benefit from the functionality of the BC Products under such testing, except in the case of a Disaster Event. All testing beyond BC testing requires a separate License to be purchased from Rocket. The cost of License entitlement increases for the BC Products shall be calculated in the same manner as the corresponding Products, but with applicable Level 1 discounts.

If End User Customer is purchasing a BC Level 2 License, the following terms shall apply:

BC Level 2: End User Customer is authorized to install the BC Products on the BC Equipment and at the BC location set forth on an Order Form, subject to the License type and quantity limitations specified in such Order Form — which must align with the License capacity intended to be used during BC testing. Rocket shall provide License keys for the BC Products. End User Customer may use the BC Products for production purposes at such time that End User Customer's production equipment becomes temporarily inoperable for any reason and until operable status is restored to the production equipment. Not more frequently than every 30 days, End User Customer may swap the production workload(s) between the production equipment and BC Equipment provided that (i) End User Customer provides Rocket with prior written notice and (ii) there is no overlapping period where production workloads are run on both machines simultaneously. End User Customer may replicate data—inclusive of the Products—from the production equipment to the BC Equipment at any frequency desired. End User Customer may use the BC Products without time limitations in order to conduct BC testing of the BC Equipment, provided that End User Customer provides Rocket with annual Capacity Utilization Reporting—or such equivalent usage reporting for non-mainframe products—for the BC Equipment. All testing beyond BC testing requires a separate License to be purchased from Rocket. The cost of License entitlement increases for the BC Products shall be calculated in the same manner as the corresponding Products, but with applicable Level 2 discounts.

Capture Driver (also known as “Printer Driver”)

Except as otherwise expressly provided in the Agreement, each installation of the Capture Driver on a physical or virtual computing appliance (identifiable by name, IP address, and/ or any other unique identifier) requires a unique license regardless of the appliance's function, including, but not limited to, production, pre-production, test, quality assurance, development, failover, backup or disaster recovery, high or continuous availability, terminal emulation, and end user device support. There shall be only one appliance per single Capture Driver License. Once an appliance is licensed, there is no limitation on i) the number of Cypress DocuVaults (“DocuVault”) to which the associated Capture Driver may connect or ii) the volume of content that may be printed/ captured to/ from that unique appliance. If a given appliance will no longer be able to utilize a Capture Driver, End User Customer may reassign that Capture Driver license to another appliance, but no more frequently than once every thirty (30) days.

Concurrent Update Users

One CUU license is necessary for each unique login to each unique Server, even if the same User is logging into the same Server more than once at the same time from different access points. CUU licenses are not permanently assigned to any one User, so the licenses may be shared among any number of Users on an asynchronous basis; in other words, any User may utilize a given CUU license but only one User may utilize said license at the same time. Although each Server running the Product(s) monitors the total number of Users logged into said Server, it is the End User Customer's responsibility to ensure that the total number of simultaneous logins to a given Server on which the Product(s) operates never exceeds—for any length of time or for any purpose—the CUU license count licensed hereunder for said Server. CUU licenses are Server-specific and the same CUU license may not be shared among multiple Servers that run the Product(s). At time of license, End User Customer must allocate its total number of CUU licenses across the Servers that will run the Product(s). End User Customer may request from Rocket Server license keys to accommodate a reallocation of CUU licenses among multiple Servers, but no more frequently than once every thirty (30) days. For avoidance of doubt, authorized read-only Users who log into the Licensed Product(s) neither require nor consume a CUU license. End User Customer shall be responsible for establishing appropriate security features for regulating access to its online system(s). Access to End User Customer's online system(s) shall be controlled through the use of IP addresses, passwords/ usernames, or both. End User Customer is responsible for facilitating the issuance of access codes, and/ or passwords/ usernames (if used), as well as verifying the status and number of authorized CUUs. End User Customer's Server(s) and/ or network must have adequate security to allow access only by Users authorized under the Agreement. End User Customer is responsible to prevent access by unauthorized Users and shall promptly notify ASG of any unauthorized use of which it becomes aware.

Copy (TMON PA)

Although the TMON PA Server(s) may only be installed at the location set forth herein, TMON PA Copies may be installed at any location owned and managed by End User Customer provided that Rocket shall only be required to deliver the Product(s) and provide Maintenance at the location indicated herein. TMON PA Copies are measured on a concurrent basis. A TMON PA Copy license is consumed for the entire period that the TMON PA Server is connected to a given server, instance, application, etc. TMON PA Copies are licensed and measured separately for each TMON PA Server, regardless of whether the same server, instance, application, etc. connects to multiple TMON PA Servers. At time of license, End User Customer must allocate its total number of TMON PA Copies across the TMON PA Servers and Rocket shall issue license keys accordingly. End User Customer may request from Rocket a reallocation of TMON PA Copy licenses among multiple Server license keys, but no more frequently than once every thirty (30) days.

Cypress concurrent User

Cypress Concurrent User licenses are not assigned to any one person or machine, so the licenses may be shared among any number of persons or machines on an asynchronous basis; in other words, any person or machine may use a given license but only one person or machine may use said license at the same time. At time of license, End User Customer must allocate its total number of Concurrent User licenses across the Servers that will run the Product(s). Cypress Concurrent User licenses may not be shared among multiple Servers, except in the case where multiple Servers connect to the same Cypress DocuVault ("DocuVault"). End User Customer may request from

Rocket Server license keys to accommodate a reallocation of Cypress Concurrent User licenses among multiple Servers that do not connect to the same DocuVault, but no more frequently than once every thirty (30) days.

Cypress Named Machine

Except as otherwise expressly provided in the Agreement, each unique appliance requires its own Cypress Named Machine license regardless of the appliance's function. There shall only be one appliance per single Cypress Named Machine license. A licensed Cypress Named Machine may connect to more than one DocuVault for no additional charge, but the total number of unique Cypress Named Machines that may connect to any DocuVault must be less than or equal to the total number of Cypress Named Machine licenses for which the applicable Product(s) is(are) licensed. Any hardware or software including, but not limited to, portal, batch process, terminal service, etc., that aggregates or otherwise reduces the apparent number of connections from multiple appliances prior to making a connection to a DocuVault may not be licensed using the Cypress Named Machine license type; such a use case may only be licensed under the Cypress Concurrent User license type. If a given appliance will no longer be able to connect with any DocuVault, End User Customer may reassign that Cypress Named Machine license to another appliance, but no more frequently than once every thirty (30) days.

Cypress Printer/Device

Hosts include either physical printers (networked, desktop, etc.) or electronic file repositories, as identified by a unique directory address; email, fax, CPF, and other output destinations must be licensed separately and do not count as a Cypress Printer/ Device. Examples of Units include, but are not limited to, printer queue names and port numbers. Each unique Host-Unit combination requires its own Cypress Printer/ Device license. A single Host that is connected to the Product(s) via two or more Units would require one Printer/ Device license for each unique Host-Unit combination. If the same unique Host-Unit combination were defined multiple times in the Product(s) and/ or across multiple Cypress DocuVaults ("DocuVault"), only one Printer/ Device license would be required for that unique Host-Unit combination. End User Customer must license at least the exact number of unique Host-Unit combinations that are defined across all DocuVaults in the End User Customer's environment, regardless of how often the Host-Unit combination is utilized. If a given Host-Unit combination is deleted from the definitions in all DocuVaults, End User Customer may reassign that Printer/ Device license to another unique Host-Unit combination, but no more frequently than once every thirty (30) days.

Cypress Server Pages

Usage is measured on a concurrent basis; see the definition of Concurrent User above. For avoidance of doubt, Cypress Server Pages are licensed and measured separately from Cypress Concurrent User licenses.

DI Tool

A given DI Tool may only process information associated with the Server said DI Tool supports. Except as otherwise expressly provided in the Agreement, each Server requires an independent license for the same DI Tool. There are no quantity limitations on the volume of information that may be processed by a given DI Tool associated with a

single Server. If End User Customer permanently uninstalls a DI Tool from a given Server, End User Customer may reassign that DI Tool license to another Server, but no more frequently than once every ninety (90) days.

Line of Business Environment (or “LOB”)

Subject areas configured without the specific naming prefix conventions do not require a LOB license; please note, however, that such free-of-charge subject areas do not enable the LOB functionality. End User Customer may manage LOBs via the Subject Area Administration component in the Metability tool. Each subject area configured with a specific naming prefix convention requires its own LOB license. For the avoidance of doubt, multiple subject areas configured with the specific naming prefix conventions may not share the same LOB license either synchronously or asynchronously. Except as otherwise expressly provided in the Agreement, one LOB license is required for each unique subject area configured with the specific naming prefix conventions for each instance of the Licensed Product(s), regardless of that instance’s function.

Third party access licensing:

If End User Customer is purchasing third party access licensing, the following shall apply:

End User Customer shall not authorize access of the Products to third parties unless End User Customer has obtained the right to do so in an Order Form.

The third party(ies) for which End User Customer is purchasing third party access licensing, and which are named on an Order Form (including, without limitation, to technology partners, contractors or other entities requiring access to the Products, collectively the “**Third Party(ies)**”), may access and use the Products for the sole benefit of End User Customer and must otherwise comply with the Agreement between Rocket and End User Customer.

Third party access licensing is subject to the payment of the applicable fees in the Order Form and the following requirements: (i) the combined utilization of the Products by End User Customer and Third Party(ies) does not exceed the License quantity limits set forth in the Order Form; (ii) while the Third Party(ies)’ employees may remotely access the Products, the Products may only be installed on the designated equipment at the designated installation location(s) and are subject to all License restrictions applicable to the Products; (iii) End User Customer assumes exclusive and full responsibility for the Third Party(ies)’ compliance with the terms of the Agreement; and (iv) End User Customer must have a written agreement with the Third Party(ies) that includes confidentiality protections at least as restrictive as those found in the Agreement. End User Customer agrees to indemnify and hold Rocket harmless from any and all claims, liabilities, damages, costs, expenses, including, without limitation, to reasonable attorney fees, arising out of or related to the Third Party(ies)’ use of the Products. Changes to the list of the authorized Third Party(ies) may not be made except by a mutually agreed, written amendment to the Order Form executed by both End User Customer and Rocket.

One-time divestiture support:

If End User Customer is purchasing one-time divestiture support, the following shall apply:

Subject to the payment of the applicable fees in the Order Form, End User Customer may use the Products on End User Customer's designated equipment and at End User Customer's designated location(s) for the benefit of the divested business(es) during the period mentioned on the applicable Order Form (the "**Divestiture Support Period**"). End User Customer may allow the acquirer of the divested business(es) (the "**Acquirer**") to access the Products running on End User Customer's designated equipment at End User Customer's designated location(s), but only during the Divestiture Support Period. End User Customer may not allow, however, Acquirer's third parties—e.g., service providers, customers, agents, etc.—to access the Products.

This one-time divestiture support is subject to the following conditions: (i) End User Customer assumes full and sole responsibility for Acquirer's compliance with the Agreement between Rocket and End User Customer, (ii) End User Customer has entered into a confidentiality agreement with the Acquirer that is at least as restrictive as the protections in the Agreement, (iii) all other restrictions in the Agreement—including, without limitation, to the licensed quantities/capacities—are unchanged by the Order Form, (iv) there is no change to Rocket's Support Services obligations or End User Customer's Support Services benefits, and (v) personnel associated with the Divested Business(es) and/ or the Acquirer may only submit Support Services requests to Rocket via End User Customer's employees and only during the Divestiture Support Period.

Should Acquirer wish to use the Products and receive the associated Support Services independently of End User Customer during and/or after the Divestiture Support Period, Acquirer shall purchase a separate License from Rocket and sign a new License and Maintenance agreement with Rocket.

Secondary Server(s)

Secondary Server(s) must be separately licensed for exactly the same edition and modules/ components that are licensed for the Production Server to which the Secondary Server(s) connects. These additional modules/ components may not be "split" across various Secondary Servers. An additional Production Server ("Production Server 2") that is intended to serve a different purpose from an existing Production Server ("Production Server 1") may have different modules/ components between the two production environments.

Support Services for Open Source Software, RocketCE, Nvidia GPU, and Zowe

Rocket is not the licensor of the Open Source Software, which is licensed in accordance with the Open Source Software license agreement contained in the product readme file. The Agreement applies to Rocket's provision of Support Services only. Notwithstanding anything to the contrary in the Agreement, Support Services for the above-listed Open Source Software is limited to the following: commercially reasonable efforts to resolve a problem or bug which substantially impairs the functionality described in the published technical specifications; (ii) assistance with problem resolution, defects, and 'how to' questions as well as advice and recommendations on installation and configuration; (iii) access to online problem reporting, tracking, and self-help tools; and (iv) telephone support for trained End User Customer personnel during purchased Support Services hours. Support Services do not include onsite support.

Zena or Enterprise Orchestrator Client

Zena or Enterprise Orchestrator Client licenses are not assigned to any one person or workstation, however, so the

licenses may be shared among any number of persons or workstations on an asynchronous basis. In other words, any person or workstation at a time may use said license to connect to the Server on which said license is installed. A Zena or Enterprise Orchestrator Client license is consumed for the entire period that a user is logged into the Product(s) on a given server. Zena and Enterprise Orchestrator Client licenses are licensed separately for each Server on which End User Customer will run the Product(s), even if one user simultaneously logs into the Product(s) on more than one Server. At time of license, End User Customer must allocate its total number of Zena or Enterprise Orchestrator Clients across the Servers that will run the Product(s) and Rocket shall issue license keys accordingly. End User Customer may request from Rocket a reallocation of Zena or Enterprise Orchestrator licenses among multiple Server license keys, but no more frequently than once every thirty (30) days.

Additional License Terms

The following additional License terms shall apply to the Products governed by this ALA, depending on whether they are mainframe or non-mainframe Products:

Non-mainframe products License entitlement increases:

The following applies when End User Customer is licensing non-mainframe products on a perpetual basis:

End User Customer shall provide Rocket with prior written notice of any actual or intended increase in the quantity/ number of the Licenses for any of the above Products beyond End User Customer's current License entitlement. End User Customer shall then license and pay for the increase in License count ("**Additional Perpetual License Fee**"). The Additional Perpetual License Fee shall be payable at the time of increase. Further, when End User Customer increases its License count, the additional annualized Support Services fee ("**Additional Support Services Fee**") shall be calculated as 20% of the Additional Perpetual License Fee. The Additional Support Services Fee shall be payable at the time of increase (prorated from the effective date of the increase to the end of the applicable Support Services term) and the sum of the Additional Support Services Fee and the original Support Services fee shall be due for each period thereafter for which the End User Customer elects to renew Support Services.

The following applies when End User Customer is licensing non-mainframe products on a subscription basis:

End User Customer shall provide Rocket with prior written notice of any actual or intended increase in the quantity/ number of the Licenses for any of the above Products beyond its current License entitlement. End User Customer shall then license and pay for the increase in License count ("**Additional Subscription Fee**"). The Additional Subscription Fee shall be payable at the time of the increase, prorated through the end of the current subscription period, and shall be due for each subscription period thereafter for which the End User Customer elects to renew the Subscription License.

Mainframe Products capacity licensing and verification of capacity:

The following applies when End User Customer is licensing mainframe Products for which the UOM is MIPS/MSU/Group/Site Total Capacity:

End User Customer may use the Products on the number of Central Processor Complex (“**CPC**”, sometimes referred to as a “**CPU**”) set forth on an Order Form and at the location specified on such Order Form provided that the combined total capacity of the CPC(s)—as rated by Gartner—where the Products are installed, may be run, and/ or that benefit from the operation of the Products must never exceed the mainframe capacity License entitlement set forth on the Order Form under “UOM” at any time, for any length of time, and/ or for any reason.

The following applies when End User Customer is licensing mainframe Products on a hard-cap sub-capacity LPAR basis:

End User Customer may install the Products on one or more mainframe logical partitions (“**LPAR**”), on the number of CPCs set forth on an Order Form and at the location specified on such Order Form provided that the combined capacity of all LPARs on which the Products are installed, are run, and/ or that benefit from the operation of the Products must never exceed the mainframe capacity License entitlement set forth on the Order Form under “UOM” at any time, for any length of time, and/ or for any reason. Peak capacity of the LPAR(s) shall be measured on a synchronous basis via the Resource Management Facility (“**RMF**”) Partition Data Reports—which shall be based on the shortest interval possible, but in no case longer than fifteen (15) minutes. For the avoidance of doubt, peak capacity utilization is not measured on a rolling-average basis.

The following applies when End User Customer is licensing mainframe Products on a soft-cap or group cap sub-capacity LPAR basis, measured by 4HRA:

End User Customer may install the Products on one or more mainframe logical partitions (“**LPAR**”) on the number of CPCs set forth on an Order Form and at the location specified on such Order Form provided that the combined capacity of all LPARs on which the Products are installed, are run, and/ or that benefit from the operation of the Products must never exceed the mainframe capacity License entitlement set forth on the Order Form under “Unit of Measure”, on a four-hour-rolling-average (“**4HRA**”) basis, at any time, for any length of time, and/or for any reason. Peak capacity of the LPAR(s) shall be measured on an asynchronous basis via the Sub-Capacity Reporting Tool (“**SCRT**”) Reports, utilizing the DETAIL LPAR DATA SECTION (N5) or equivalent.

The following capacity verification provisions apply to all mainframe Licenses:

At Rocket’s request, End User Customer shall provide Rocket with data to verify peak capacity utilization, including, without limitation, the following (collectively, the “**Capacity Utilization Reporting**”):

- model number, capacity setting, and serial number of each CPC on which the Products is installed, may be run, and/ or that benefit from the use of the Products—including, without limitation, any CPCs in a Sysplex, configured for capacity on demand, and/ or available for Capacity Back-up (“**CBU**”) and business continuity.
- the name of every LPAR where the Products is installed, may be run, and/ or that benefit from the operation of the Products.
- RMF reports—or equivalent if IBM changes the mainframe reporting tools in the future—for the prior 12 months for each CPC run with the following parameters:
 - Interval values set to no longer than fifteen minutes
 - Based upon SMF record type 70

- RMF report sections: RMF Summary Report, CPC Activity, Partition Data Report, LPAR Cluster Report, and Group Capacity Report
- Output file format: Text from the z/OS system placed directly into a .txt file. It is necessary to retain the ASA Characters in the report(s). It is not permitted to copy the output/ report(s) into any other file format prior to returning the information to Rocket.
- the prior 12 months of Sub-Capacity Reporting Tool (“SCRT”) Multiplexing Reports—or equivalent if IBM changes the mainframe reporting tools in the future—with the following parameters:
 - The SCRT report must be prepared by:
 - Specifying the Country Multiplex Pricing SPECIAL control statement to request that SCRT creates the SCRT Multiplex report.
 - In addition, the “Generate Detailed Data” parameter must be specified in order to generate the W3 “Detailed Interval Data section” of the SCRT Multiplex report.
 - The SCRT must contain all relevant sections, including, without limitation, to B5, G5, H4, H6, N5, P5, U5, and W3.

If needed, the following formula shall be used to convert MSU values from the Capacity Utilization Reporting into MIPS values:

$$[\text{Gartner's rating of the total MIPS capacity of the subject CPC}] \div [\text{IBM's rating of the total MSU capacity of the subject CPC}] \times [\text{reported MSU capacity or utilization}] = [\text{reported MIPS capacity or utilization}]$$

Should End User Customer (i) exceed or intend to exceed the mainframe capacity License entitlement specified on an Order Form and/ or (ii) convert or intend to convert the mainframe capacity License type specified on an Order Form, End User Customer shall provide Rocket with prior written notice of such excess/ conversion and End User Customer shall pay Rocket’s then-current list price for the additional capacity (the “**License Upgrade Fee**”). For purposes of this paragraph only, “conversion” refers to End User Customer’s change of capacity licensing metric, e.g., from a License measured on the basis of CPC total capacity to a License measured on the basis of LPAR capacity utilization. The License Upgrade Fee in a License conversion transaction, but not in a License upgrade transaction, is subject to a minimum charge of 10% of Rocket’s then-current list price (with no discounts applied) for the previous entitlement. The License Upgrade Fee shall be payable at the time of upgrade/ conversion for Perpetual Licenses or prorated from the effective date of upgrade/ conversion to the end of the Term for Subscription Licenses. Should End User Customer upgrade/ convert its entitlement on a Perpetual License, the additional Support Services fee (“**Additional Annual Support Services Fee**”) shall be calculated as 20% of the License Upgrade Fee. The Additional Annual Support Services Fee shall be payable at the time of upgrade/ conversion (prorated from the effective date of the upgrade/ conversion to the end of the applicable annual Support Services period) and the sum of the Additional Annual Support Services Fee and the original Annual Support Services fee shall be due for each annual Support Services period thereafter.

The following applies when End User Customer is purchasing capacity on demand License rights:

If End User Customer requires additional capacity on demand (“**CoD**”) for the Products for a period of 90 days or less per annum, End User Customer may license said additional capacity at a rate to be quoted by Rocket for each individual Product per day of usage at the higher capacity (the “**CoD Fee**”). This CoD Fee covers both the incremental License and Support Services fees per individual Product per day. Rocket shall provide End User

Customer with a more flexible License key that allows End User Customer to vary the capacity setting of its equipment without the need to apply new passwords. Within ten business days after the end of each calendar month during the Term, End User Customer shall proactively notify Rocket of the Capacity Utilization Reporting for the prior month; failure to notify Rocket of the Capacity Utilization Reporting for a given month shall result in an automatic forfeiture of this capacity on demand option for the subsequent six month period. If the Capacity Utilization Reporting identifies usage in excess of the capacity limits set forth on an Order Form, End User Customer shall pay Rocket for the excess capacity.

If End User Customer is purchasing a Rocket Multi-Value Product, the following terms shall apply:

End User Customer agrees to the program process and procedures specified in the MV Handbook which will be provided by Rocket to End User Customer.

If End User Customer is purchasing an expanded usage right for divestiture support, the following terms apply:

End User Customer may use the Products on the equipment and at the location(s) set forth on an Order Form for the benefit of an identified divested business(es) during a "Divestiture Support Period" listed on an Order Form. End User Customer may allow employees from the acquirer to access the Licensed Product(s) running on the equipment at Customer's location(s), but only during the Divestiture Support Period. End User Customer may not allow, however, an acquirer's third parties—e.g., service providers, customers, agents, etc.—to access the Licensed Product(s).

The expanded usage rights granted under this Order Form are subject to the following conditions: i) End User Customer assumes full and sole responsibility for acquirer's compliance with the Agreement and these terms, ii) End User Customer has entered into a confidentiality agreement with the acquirer that is at least as restrictive as the protections in the Agreement, iii) all other restrictions in the Agreement and associated Order Forms—including but not limited to the licensed quantities/ capacities—are unchanged by this expanded right, iv) there is no change to Rocket's support and maintenance obligations or End User Customer's support and maintenance benefits, and v) personnel associated with the divested business(es) and/ or the acquirer may only submit support and maintenance requests to Rocket via End User Customer's employees and only during the Divestiture Support Period.

If End User Customer is licensing a field developed interface without purchasing installation services, the following terms apply:

The Field Developed Interface(s) ("FDI") licensed in this Agreement are provided "as is" and the terms of the Warranty and Maintenance Services sections of the Agreement do not apply. Subject to the payment of the FDI Support Fee, which is the annual fee for support of the FDI, Rocket shall provide support services for the FDI(s). FDI(s) may or may not become Generally Available Rocket product components. Rocket shall own all proprietary and intellectual property rights in all FDI(s).

Other Terms

The Products may include generative AI ("**GenAI**")-based systems that are either provided by Rocket Software or

procured by End User Customer and configured to work with the Products. End User Customers must use and interact with any third party GenAI model incorporated into the Products responsibly and lawfully. End User Customers will be notified of the inclusion of GenAI in a Product and will be given the opportunity to reject its use.

All use of the GenAI aspects of the Products must be in accordance with the following licensing terms and usage conditions:

Using GenAI Content

End User Customer agrees that it will use the GenAI aspects of the Products for End User Customer's legitimate business purposes and not use or allow the GenAI aspects of the Products to be used for any dangerous, illegal, or malicious activities or content, including, without limitation:

- abusive or illegal content (including, without limitation, text, images, video, audio, or code) or data, or content or data that is false, misleading, disparaging, or violates the rights of others;
- legal, medical, financial, or other kinds of professional advice, including, without limitation, any opinions, judgments, or recommendations;
- fully automated decision making in connection with use cases involving critical processes or the risk of loss of life, property or impact on an individual's legal rights;
- in a way that impersonates another for deceptive purposes or conceals the fact a user is interacting with artificial intelligence;
- in a way that attempts to override or circumvent safety filters or intentionally drive the model to act in a manner that contravenes these terms; or
- to make automated decisions in domains that affect material or individual rights or well-being (e.g., finance, legal, employment, healthcare, housing, insurance, and social welfare).

Inputs and Outputs

Input. End User Customer is solely responsible for Input. Rocket may automatically block Input, in its sole discretion, if Rocket believes Input violates this ALA. End User Customer owns all Input unless it incorporates Rocket-owned data or intellectual property. **"Input"** means any applicable content or information input or imported to the GenAI aspects of the Products by End User Customer, including, without limitation, an audio file, video file, document, code, data, image, text, or a combination of the foregoing.

Output. End User Customer is solely responsible for the use of Output and for ensuring such use of Output complies with these terms and applicable laws and regulations. Output may sometimes be inaccurate, misleading, or include material that may belong to others. Rocket may use third-party technologies, vendors, or processes to screen for and block Output that may violate applicable law or regulation, the rights of a third party, or an agreement, before Output is provided to End User Customer. Output is owned by Rocket unless this ALA or other agreement between the parties specifies otherwise. To the extent any End User Customer-owned data is incorporated into the Output, the End User Customer-owned data remains owned by End User Customer and is licensed to Rocket for use as set forth herein with respect to Permitted Use of Output. In some cases, Output may not be protectable by intellectual property rights. **"Output"** means any applicable output provided to End User Customer that is generated by the GenAI aspects of the Products based on Input, including, without limitation, to text, text effects, image, vector graphic, audio file, texture, video file, data, or code. Output does not include any

references to or summaries of Rocket product documentation.

Warranties; Disclaimer

Rocket disclaims all warranties, express or implied, regarding any AI models (including, without limitation, any AI model configured or selected by End User Customer) and any Output, including, without limitation, any implied warranties that Output will not violate the rights of a third party or any applicable law or regulation. End User Customer will not, and will not allow third parties under End User Customer's control to, use the GenAI aspects of the Products or Output to directly or indirectly create, build, develop, train, test, or otherwise improve any machine learning algorithms or artificial intelligence systems, including, without limitation, any architectures, models, or weights. If applicable, when selecting an AI model, End User Customer acknowledges and agrees that it is solely responsible for selecting, configuring and managing that AI model and any associated risks as well as for any Input that End User Customer provides.

Permitted Use

Rocket and its affiliates may process, use, copy, transmit, aggregate, model, index, store, and display Input and Output (i) to perform its obligations under the Agreement, including, without limitation, support and operation of the GenAI aspects of the Products and Services; and (ii) for product improvement, customization, development, or research ("**Permitted Use of Output**").

Data Protection

End User Customer must protect any personal or confidential information generated or used by GenAI tools in accordance with its data protection policies and relevant laws and regulations. End User Customer should not use the GenAI aspects of the Products to generate or process sensitive data, such as health records, financial data, or personally identifiable information, without the proper authorization and security measures in place.

Support Services

Rocket Software Support Handbook:

Rocket will provide the Support Services (sometimes also referred to as "maintenance") purchased by End User Customer or included in a Subscription License, according to Rocket's current standard policies and procedures included in the Rocket Software Support Handbook, as revised from time to time and located at this link:

<https://www.rocketsoftware.com/company/trust/agreements>

All-or-none Support Services

The following applies for Support Services renewals of Perpetual Licenses:

In the event of perpetual licenses and unless otherwise agreed, End User Customer shall not renew Support Services for less than its licensed quantity(ies) of the same Products. Should End User Customer initially contract

with Rocket for Support Services as part of an offering for the Products that enabled End User Customer to take advantage of reduced Support Services rates/costs, End User Customer must renew Support Services on at least all Licenses on the original Order Form in order to retain the discounted price levels.

Central Support Services Location:

Should End User Customer purchase Licenses for multiple designated locations in an Order Form, all Support Services, including, without limitation, changes, updates, new releases and modifications, shall be provided by Rocket to End User Customer only in reference to End User Customer's Central Support Services Location mentioned in the Order Form. This location will be responsible for overseeing the receipt of the Products, Support Services requests, documentation, distribution, etc. of the Products for all licensed locations. For the avoidance of doubt, End User Customer's employees may contact Rocket from any of the licensed locations, provided they reference the End User Customer's Central Support Services Location.

Reference

Rocket may ask End User Customer to serve as a reference for the Products at times and conditions to be mutually agreed upon. Such references may, as mutually agreed upon, include activities such as (i) reference calls with or hosting of a site visit with mutually acceptable prospects; (ii) a published "news release" announcing the successful partnership with Rocket no later than 60 days from the effective date of the Order Form; (iii) mention of End User Customer or End User Customer's logo on Rocket's website; (iv) the completion of a "case study"; or (v) sharing End User Customer's "success story" which may include speaking at Rocket events. Both parties will agree to the content and timing of any announcement focused on their relationship prior to distribution.

<https://www.rocketsoftware.com/company/trust/agreements>

Latest version of software licensing documents

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